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			2128	
				
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application	n No.	Applic	ant(s)		
Office Action Summary		10/618,28	4 .	BROOM	KES ET AL.		
		Examiner		Art Un	it		
		Saif A. Alh	ija	2128			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHO WHIC - Exter after: - If NO - Failur Ady r	DRTENED STATUTORY PERIOD FOR HEVER IS LONGER, FROM THE MASSIONS of time may be available under the provisions of SIX (6) MONTHS from the mailing date of this communication for reply is specified above, the maximum statuse to reply within the set or extended period for reply we ply received by the Office later than three months after department adjustment. See 37 CFR 1.704(b).	ALING DATE OF TH f 37 CFR 1.136(a). In no even nication. utory period will apply and wi rill, by statute, cause the appl	IS COMMUN ent, however, may Il expire SIX (6) Mo ication to become	NICATION. a reply be timely filed ONTHS from the mailing ABANDONED (35 U.S.	g date of this communication. .C. § 133).		
Status							
2a) <u></u> □	1)⊠ Responsive to communication(s) filed on <u>28 March 2007</u> . 2a)□ This action is FINAL . 2b)⊠ This action is non-final. 3)□ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Dispositi	on of Claims						
4) Claim(s) 1,3,5-16 and 18-33 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1,3,5-16 and 18-33 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. Application Papers							
9)[7]	The specification is objected to by the	Examiner					
10) ☐ The drawing(s) filed on 11 July 2003 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority u	inder 35 U.S.C. § 119						
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 							
2) Notic 3) Inform	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PT nation Disclosure Statement(s) (PTO-1449 or P r No(s)/Mail Date	· ·	Paper N	w Summary (PTO-41: lo(s)/Mail Date of Informal Patent App 			

Art Unit: 2128

DETAILED ACTION

1. Claims 1, 3, 5-16, 18-33 have been presented for examination.

Claims 2, 4 and 17 have been cancelled.

Response to Arguments

- 2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 28 March 2007 has been entered.
 - i) Applicant's arguments filed 28 March 2007 have been fully considered but they are not persuasive.
- and the 101 rejection should be withdrawn. Applicants state that since the simulations "can be used to validate a design of the combination hardware/software system" that the claims are statutory. The Examiner respectfully argues that this argument is an intended use and therefore not afforded patentable weight. This can also be seen in the claim I which recites "allowing." The mere allowance of an action does not necessitate the action and the broadest reasonable interpretation of the term would result in an intended use and no patentable weight is afforded to the subsequent limitations. Also, see 101 rejection below.
 - iii) Following Applicants amendment the 112 2nd rejections of claims 15-28 are withdrawn.
- embedded in the hardware environment and runs ahead of the hardware simulation" as well as "the software model is recited to control the hardware simulation." The Examiner respectfully notes that only claim 1 contains the limitations regarding one simulation running ahead of another and further that only the limitations of claim 1 are specifically addressed in Applicants arguments. Column 8, Lines 20-45 reproduced below shows the SEmulation system of Lin encompasses numerous modes including software controlling hardware simulation. This cited section also shows the software simulation embedded in a hardware environment. Further, Figure 49 also shows scheduling, priorities, and swapping which reads on one part of the simulation running ahead of or behind another.

The SEmulation system and method, in accordance with the present invention, provide four modes of operation: (1) Software Simulation, (2) Simulation via Hardware Acceleration, (3) In-Circuit Emulation (ICE), and (4) Post-25 Simulation Analysis. At a high level, the present invention is embodied in each of the above four modes or various combinations of these modes as follows: (1) Software Simulation alone; (2) Simulation via Hardware Acceleration alone; (3) In-Circuit Emulation (ICE) alone; (4) Post-30 Simulation Analysis alone; (5) Software Simulation and Simulation via Hardware Acceleration; (6) Software Simulation and ICE; (7) Simulation via Hardware Acceleration and ICE; (8) Software Simulation, Simulation via Hardware Acceleration, and ICE; (9) Software Simulation and Post-35 Simulation Analysis; (10) Simulation via Hardware Acceleration and Post-Simulation Analysis; (11) Software Simulation, Simulation via Hardware Acceleration, and Post-Simulation Analysis; (12) ICE and Post-Simulation Analysis; (13) Software Simulation, ICE, Post-Simulation 40 Analysis; (14) Simulation via Hardware Acceleration, ICE, Post-Simulation Analysis; and (15) Software Simulation, Simulation via Hardware Acceleration, ICE, and Post-Simulation Analysis. Other combinations are possible and within the scope of the present invention.

Further, Column 56, Line 37-Column 57, Line 16 of Lin is reproduced below. This section shows that the SEmulation system allows both asynchronous and synchronous data inputs depending upon which is enabled.

Further, the software kernel controls hardware model evaluation.

FIG. 17 shows a basic building block of the hardware model in accordance with one embodiment of the present invention. For the register component, the SEmulation system uses a D-type flip-flop with asynchronous load control as the basic block for building both edge trigger (i.e., flip-flops) and level sensitive (i.e., latches) register hardware models. This register model building block has the following ports: Q (the output state); A_E (asynchronous enable); A_D (asynchronous data); S_E (synchronous enable); S_D (synchronous data); and of course, System.clk (system clock).

This SEmulation register model is triggered by a positive edge of the system clock or a positive level of the asynchronous enable (A_E) input. When either of these two positive edge or positive level triggering events occurs, the register model looks for the asynchronous enable (A_E) input is enabled, the output Q takes on the value of the asynchronous data (A_D); otherwise, if the synchronous enable (S_E) input is enabled, the output Q takes on the value of the synchronous data (S_D). If, on the other hand, neither the asynchronous enable (A_E) nor the synchronous enable (S_E) input is enabled, the output Q is not evaluated despite the detection of a positive edge of the system clock. In this way, the inputs to these enable ports control the operation of this basic building block register model.

The system uses software clocks, which are special enable registers, to control the enable inputs of these register models. In a complex user circuit design, millions of elements are found in the circuit design and accordingly, the SEmulator system will implement millions of elements in

Application/Control Number: 10/618,284

Art Unit: 2128

the hardware model. Controlling all of these elements individually is costly because the overhead of sending millions of control signals to the hardware model will take a longer time than evaluating these elements in software. However, even this complex circuit design usually calls for only a few (from 1-10) clocks and clocks alone are sufficient to control the state changes of a system with register and combinational components only. The hardware model of the SEmulator system uses only register and combinational components. The SEmulator system also controls the evaluation of the hardware model through software clocks. In the SEmulator system, the hardware models for registers do not have the clock directly connected to other hardware components; rather, the software kernel controls the value of all clocks. By controlling a few clock signals, the kernel has the full control over the evaluation of the hardware models with 15 negligible amount of coprocessor intervention overhead.

- v) Since no additional arguments were made regarding the 103 rejections of claims 13 and 27 the rejections are maintained.
- Applicant's arguments fail to comply with 37 CFR 1.111(b) because they amount to a general allegation that the claims define a patentable invention without specifically pointing out how the language of the claims patentably distinguishes them from the references. Applicant's arguments do not comply with 37 CFR 1.111(c) because they do not clearly point out the patentable novelty which he or she thinks the claims present in view of the state of the art disclosed by the references cited or the objections made. Further, they do not show how the amendments avoid such references or objections. Specifically directed to Applicants argument on Page 10, first paragraph last two lines.
- the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in their entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

Art Unit: 2128

viii) The Examiner respectfully requests, in the event the Applicants choose to amend or add new claims, that such claims and their limitations be directly mapped to the specification, which provides support for the subject matter. This will assist in expediting compact prosecution.

ix) Further, the Examiner respectfully encourages Applicants to direct the specificity of their response with regards to this office action to the broadest reasonable interpretation of the claims as presented. This will avoid issues that would delay prosecution such as limitations not explicitly presented in the claims, intended use statements that carry no patentable weight, mere allegations of patentability, and novelty that is not clearly expressed.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

MPEP 2106 recites:

The claimed invention as a whole must accomplish a practical application. That is, it must produce a "useful, concrete and tangible result" State Street 149 F.3d at 1373, 47 USPQ2d at 1601-02. A process that consists solely of the manipulation of an abstract idea is not concrete or tangibles. See In re Warmerdam, 33 F.3d 1354, 1360, 31 USPQ2d 1754, 1759 (Fed.Cir. 1994). See also Schrader, 22 F.3d at 295, 30 USPQ2d at 1459.

- 3. Claims 1, 3, 5-16, and 18-33 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.
- i) Claim 1 recites "allowing." The mere allowance of an action does not necessitate the action and the broadest reasonable interpretation of the term would result in an intended use and no patentable weight is afforded to the subsequent limitations. As a result the claim does not produce a useful, concrete, and tangible result.
- ii) Claim 15 recites "controlling." The mere control of an action does not produce a useful, concrete, and tangible result.
- iii) Claim 29 recites "polling the I/O device.." Although the claim recites an interactive program it is unclear if the program is interactive with a user or an automated program. Therefore the polling does not produce a

useful, concrete, and tangible result.

All claims dependent upon a rejected base claim are rejected by virtue of their dependency.

Appropriate correction is required.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 4. Claims 1, 3, 5-12, 14-16, 18-26, and 28-33 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Lin et al. "Coverification System and Method", U.S. Patent No. 6,389,379, hereafter referred to as Lin.

Regarding Claim 1:

Lin discloses A method in a hardware environment for validating a design for a system which comprises a software element, and first and second hardware components, the software element being for execution on the second hardware component, and the first and second hardware components being operable to interact with one another, the method comprising the steps of:

simulating operation of the first hardware component in a first simulation in a hardware environment; (Figures 46-49. 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

simulating the software element and the second hardware component in a second simulation using a software model embedded within the hardware environment; (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45-Column 28, Lines 57)

and analyzing the first and second simulations to validate the design for the system, (Column 8, Lines 20-45)

wherein the first simulation and the second simulation are implemented in separate processing threads within the hardware environment, and (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

wherein the first and second simulation run asynchronously, the second simulation running ahead of the first simulation, allowing the software model to control the first simulation of the first hardware component and allowing for more rapid simulation of software instructions in the software model. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57. Column 30, Lines 47-61)

Regarding Claim 3:

Lin discloses A method as claimed in claim 1, wherein the first simulation and the second simulation are synchronised with a reference clock. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

Regarding Claim 5:

Lin discloses A method as claimed in claim 1, further comprising:

performing operations in the first simulation to set up an inter-process communications protocol connection therein; (Column 87, Lines 50-64)

connecting the second simulation to the interprocess communications protocol connection in the first simulation; (Column 87, Lines 50-64)

connecting a software debugger to the second simulation; (Abstract. Column 1, Lines 33-49) and controlling the first simulation from the software debugger via the second simulation using the interprocess communications protocol. (Abstract. Column 1, Lines 33-49)

Regarding Claim 6:

Lin discloses A method as claimed in claim 1, further comprising:

performing operations in the first simulation to set up an inter-process communications protocol connection therein; (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

connecting a software debugger to the communications protocol connection; (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

and controlling the first simulation from the software debugger using the inter-process communications protocol. (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

Application/Control Number: 10/618,284

Art Unit: 2128

Regarding Claim 7:

Lin discloses A method as claimed in claim 5 or 6, wherein the inter-process communications protocol is TCP/IP and the connection is a TCP/IP socket. (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

Page 9

Regarding Claim 8:

Lin discloses A method as claimed claim 1, wherein the second hardware component includes a processor.

(Column 11, Line 59 – Column 12 Line 2)

Regarding Claim 9:

Lin discloses A method as claimed in claim 8, wherein the processor is an embedded processor. (Column 11, Line 59 – Column 12 Line 2)

Regarding Claim 10:

Lin discloses A method as claimed in claim 1, wherein the hardware component includes processor peripheral devices. (Column 11, Line 59 – Column 12 Line 2)

Regarding Claim 11:

Lin discloses A method as claimed in claim 10, wherein the peripheral devices are embedded. (Column 11, Line 59 – Column 12 Line 2)

Regarding Claim 12:

Lin discloses A method as claimed in claim 1, wherein the first simulation is implemented using a hardware description language (HDL) simulation environment. (Figure 26)

Regarding Claim 14:

Art Unit: 2128

Lin discloses A method as claimed in claim 1, wherein the first hardware component is a programmable logic device. (Column 63, Lines 4-10)

Regarding Claim 15:

Lin discloses A method in a hardware environment for controlling a simulation of a system using a software debugger, the simulation useful for validating a design of the system wherein the system comprises a software element, and first and second hardware components, the software element being for execution on the second hardware component and the first and second hardware components being operable to interact with one another, the method comprising the steps of:

simulating the first hardware component in a first simulation in the hardware environment; (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

simulating the software element and the second hardware component in a second simulation using a software model embedded within the hardware environment; (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45-Column 28, Lines 57)

performing operations to set up an inter-process communications protocol connection; (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

connecting the software debugger to the software model of the second simulation embedded in the hardware environment; and (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

controlling the first simulation of the hardware component from the software debugger through the software model of the second simulation using the inter-process communications protocol. (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

Regarding Claim 16:

Lin discloses A method as claimed in claim 15, further comprising the step of:

connecting the software debugger to inter-process communications protocol connection. (Abstract.

Column 1, Lines 33-49. Column 87, Lines 50-64)

Regarding Claim 18:

Lin discloses A method as claimed in claim 15, wherein the inter-process communications protocol is

TCP/IP and the connection is a TCP/IP socket. (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

Regarding Claim 19:

Lin discloses A method as claimed in claim 15, wherein the step of simulating the second hardware

component comprises simulating a processor and one or more peripheral devices with which the one or more

processors interact directly. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

Regarding Claim 20:

Lin discloses A method as claimed in claim 15, wherein the first simulation and the second simulation are

implemented in separate processing threads. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines

57)

Regarding Claim 21:

Lin discloses A method as claimed in claim 15, wherein the first simulation and the second simulation run

asynchronously. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

Regarding Claim 22:

Lin discloses A method as claimed in claim 15, wherein the first simulation and the second simulation are

synchronised with a reference clock. (Figures 1, 2, 3, 5, and 19)

Regarding Claim 23:

Lin discloses A method as claimed in claim 15 wherein the first and second simulations are implemented

in respective different simulation environments. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28,

Lines 57)

Art Unit: 2128

Regarding Claim 24:

Lin discloses A method as claimed in claim 15, wherein the second hardware component includes embedded processors. (Column 11, Line 59 – Column 12 Line 2)

Regarding Claim 25:

Lin discloses A method as claimed in claim 15, wherein the second hardware component includes embedded peripheral devices. (Column 11, Line 59 – Column 12 Line 2)

Regarding Claim 26:

Lin discloses A method as claimed in claim 15, wherein the first simulation is implemented using a hardware description language (HDL) simulation environment. (Figure 26)

Regarding Claim 28:

Lin discloses A method as claimed in claim 15, wherein the first hardware component is a programmable logic device. (Column 63, Lines 4-10)

Regarding Claim 29:

Lin discloses A method for providing an I/O interface for a simulation model to allow the simulation of interactive programs in a hardware environment for use in system validation, the method comprising:

simulating a software element using a software model in a first processing thread in the hardware environment; (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

simulating an embedded input/output device within the simulation model to produce an input/output device model; (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

connecting the input/output device model to a terminal emulator using an inter-process communications protocol; (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

running an interactive program in the terminal emulator to transfer information to the input/output device model, and. (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64. Figures 1, 2, 3, 5, and 19)

Art Unit: 2128

polling the input/output device model for the transferred information using the software model. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57. Column 22, Lines 29-42.)

Regarding Claim 30:

Lin discloses A method as claimed in claim 29, the method further comprising: providing separate processing threads for the embedded input/output device to allow concurrent user inputs and outputs. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

Regarding Claim 31:

Lin discloses A method as claimed in claim 29, wherein the inter-process communications protocol is TCP/IP. (Abstract. Column 1, Lines 33-49. Column 87, Lines 50-64)

Regarding Claim 32:

Lin discloses A method as claimed in claim 29, wherein the input/output device is a UART device. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

Regarding Claim 33:

Lin discloses A method as claimed in claim 29, wherein the input/output device is an Ethernet MAC device. (Figures 1, 2, 3, 5, and 19. Column 27, Lines 45- Column 28, Lines 57)

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claim(s) 13 and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lin in view of Kim et al. "An Integrated Hardware-Software Cosimulation Environment with Automated Interface Generation", hereafter referred to as Kim.

Regarding Claim 13:

Lin does not explicitly discloses A method as claimed in claim 1, wherein the second simulation is implemented using a C model.

Kim, however, discloses A method as claimed in claim 1, wherein the second simulation is implemented using a C model. (Introduction, Paragraph 2)

It would have been obvious to one of ordinary skill in the art at the time of the invention to utilize C code for the simulation as discussed in **Kim** for the simulation in **Lin** since C is commonly used higher level programming language as disclosed numerous times in **Kim**.

Regarding Claim 27:

Lin does not explicitly discloses A method as claimed in claim 15, wherein the second simulation is implemented using a C model.

Kim, however, discloses A method as claimed in claim 1, wherein the second simulation is implemented using a C model. (Introduction, Paragraph 2)

It would have been obvious to one of ordinary skill in the art at the time of the invention to utilize C code for the simulation as discussed in **Kim** for the simulation in **Lin** since C is commonly used higher level programming language as disclosed numerous times in **Kim**.

Conclusion

- 6. All Claims are rejected.
- 7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Saif A. Alhija whose telephone number is (571) 272-8635. The examiner can normally be reached on M-F, 11:00-7:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kamini Shah can be reached on (571) 272-22792279. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

SAA

June 3, 2007

KAMINI SHAH EXAMINER